

that twenty-five-year span, the Supreme Court had many opportunities to introduce diversity as a compelling state interest but never did. Thus, pre-*Grutter*, remedying past discrimination was the lone constitutionally-recognizable rationale for the government's use of a racial classification.¹⁹ Not surprisingly, race-preference programs almost universally failed strict scrutiny analysis. "Only once between its 1945 inception in *Korematsu* and its application in *Grutter* has an affirmative-action program survived both prongs of the strict scrutiny analysis."²⁰

III. Diversity Can Be a Compelling Interest—*Grutter v. Bollinger*

Grutter concerned a challenge to the race-conscious admissions program of the University of Michigan Law School, a top-rated institution.²¹ In 1996, Barbara Grutter, a nonminority Michigan resident with fairly good GPA and LSAT scores, unsuccessfully applied for admission to the law school and thereafter brought suit to challenge the constitutionality of the law school's race-conscious admissions plan. The *Grutter* majority opinion, authored by Justice O'Connor, begins with an analysis of *Bakke*. Justice O'Connor adopted Justice Powell's opinion for guidance in resolving *Grutter*.²²

Having set forth the newly-adopted Powell framework, Justice O'Connor then articulated the majority's guiding principle: "not every decision influenced by race is equally objectionable."²³ She then acknowledged the law school's purported compelling interest: the educational benefits that flow from a diverse student body.²⁴ To achieve that end, outright racial balancing such as the kind entailed with quotas would not be allowed, but a university could use race to achieve "critical masses" of underrepresented minorities.²⁵ Justice O'Connor then embarked on an extended discussion of various educational benefits that she asserted flowed from a diverse student body, relying on a number of amicus briefs to show that such benefits extend beyond the classroom to the workplace, politics, and the military.²⁶

Justice O'Connor next reemphasized from her *Bakke* discussion that race cannot be used as a blunt instrument, such that *Bakke*-style quotas, as well as *Gratz*-style point systems, are impermissible.²⁷ She also underscored that applicants must be understood as individuals and that race may only be used as one among many factors in a "holistic" review.²⁸ Race may be used as part of a "good faith" effort to achieve a permissible goal, and "some attention to numbers" is not the equivalent of a quota system.²⁹ Hence, the law school's keeping track of the race of admittees was permissible because the value given to race remained constant throughout the admissions process.³⁰ Justice O'Connor warned that race cannot become the defining feature of an application, and that no "bonus points" can be awarded because of race.³¹ Justice O'Connor also observed that the law school's program gave serious consideration to other non-race factors when determining educational diversity.³²

Justice O'Connor concluded her opinion with a discussion of when the law school's race-conscious admissions policy would end. She noted that all such race-based programs must have an endpoint, but that it would be unfair to require the law school

to articulate a hard-and-fast deadline given the vagaries of critical mass and diversity.³³ Justice O'Connor observed that it had been about twenty-five years since Justice Powell's authorization of the use of diversity and race in university admissions, that minority test scores and admission rates had improved, and, thus, that it would be reasonable to expect that the law school's race-conscious program would become unnecessary in the next twenty-five years.³⁴

IV. *Fisher v. University of Texas at Austin*

Prior to 1996, the University of Texas at Austin employed two criteria for student admission. The first, used to this day, is called the Academic Index. The Index rates a student's academic achievement according to her grade point average, SAT scores, and similar data.³⁵ The university's use of the second criterion—race—ended in 1996 when the Fifth Circuit ruled in *Hopwood v. Texas* that race-conscious admission policies are unconstitutional.³⁶ In response to *Hopwood*, the university developed a new race-neutral admission criterion termed the Personal Achievement Index, to be used in conjunction with the Academic Index.³⁷

In 1997, the Texas Legislature responded to *Hopwood* by enacting the Top Ten Percent Law, which mandates that the top ten percent of students graduating from each public high school be guaranteed admission to the university.³⁸ (In 2010, the Texas Legislature amended the law to cap the number of guaranteed admissions to the University of Texas at Austin to seventy-five percent of the spots reserved to Texas residents).³⁹ Although the law is facially race-neutral, "underrepresented minorities were its announced target and their admission a large, if not primary, purpose."⁴⁰

The admissions process changed again following the Supreme Court's decision in *Grutter*. *Grutter* effectively overruled *Hopwood* and spurred the university to reexamine its admission process. In response, the university commissioned two studies to determine whether, consistent with *Grutter*, the university had obtained a "critical mass" of minority students through the Top Ten Percent Law.⁴¹ The university concluded that "it had not yet achieved the critical mass of underrepresented minority students needed to obtain the full educational benefits of diversity."⁴² The university accordingly adopted a new admissions policy under which race would be one factor to be considered in admissions.⁴³

Texas residents Abigail Fisher and Rachel Michalewicz brought suit to challenge the university's denial of their admission to the Fall 2008 class at the University of Texas at Austin.⁴⁴ They alleged that the university's denial violated their rights against racial discrimination under the Equal Protection Clause of the Fourteenth Amendment.⁴⁵ The district court ruled in the university's favor, and the plaintiffs appealed. The Fifth Circuit panel affirmed the district court in three opinions. Judge Higginbotham wrote for all three members of the panel. Judge King concurred to note that he did not join in the lead opinion to the extent that it called into question the constitutionality of the Top Ten Percent Law.⁴⁶ Judge Garza wrote an extensive concurrence expressing the view that *Grutter* was wrongly decided.⁴⁷

minimum, *Fisher* presents the Supreme Court the opportunity to explain *Grutter* (and *Gratz*), and provide clarity to this highly contentious area of law.

Endnotes

- 1 *Grutter v. Bollinger*, 539 U.S. 306 (2003).
- 2 *Id.* at 343.
- 3 *Fisher v. University of Texas at Austin*, 631 F.3d 213 (5th Cir. 2011).
- 4 *Fisher v. Univ. of Texas at Austin*, 644 F.3d 301 (5th Cir. 2011).
- 5 Brief for the United States as Amicus Curiae Supporting Appellees, *Fisher v. University of Texas at Austin*, 631 F.3d 213 (5th Cir. 2011) (No. 09-50822).
- 6 323 U.S. 214 (1944).
- 7 *Id.* at 223.
- 8 *See, e.g.*, *Brown v. Bd. of Educ.*, 347 U.S. 483, 494 (1954) (holding that segregated schools violate the Equal Protection Clause because of the social and psychological harms caused by segregation in education); Rachel C. Grunberger, Note, *Johnson v. California: Setting a Constitutional Trap for Prison Officials*, 65 MD. L. REV. 271, 276 (2006) (discussing *Korematsu* and the application of strict scrutiny to racial classifications).
- 9 *See, e.g.*, *Loving v. Virginia*, 388 U.S. 1, 8-9 (1967) (striking down law banning interracial marriage); Grunberger, *supra* note 7, at 276-77 (discussing the 1960s Court's strict scrutiny standard for racial classifications).
- 10 *See Brown v. Bd. of Educ.*, 349 U.S. 294 (1955) (*Brown II*); *Green v. County Sch. Bd.*, 391 U.S. 430 (1968); *Swann v. Charlotte-Mecklenburg Bd. of Educ.*, 402 U.S. 1 (1971).
- 11 416 U.S. 312 (1974).
- 12 PETER WOOD, DIVERSITY 111 (2003).
- 13 438 U.S. 265 (1978).
- 14 *Bakke*, 438 U.S. at 369 (plurality opinion).
- 15 *Id.* at 276.
- 16 *Id.* at 372 (Brennan, J., opinion). The Brennan opinion argued that race could be taken into account to remedy the effects of past discrimination generally. Indeed, Justice Brennan noted that the "underrepresentation of minorities [in medical schools nationwide] was substantial and chronic." However, on this point, Justice Brennan could not command a majority of the Court.
- 17 WOOD, *supra* note 11, at 104.
- 18 *Bakke*, 438 U.S. at 314 (Powell, J., opinion).
- 19 In *Korematsu*, the Supreme Court found national security to be a compelling government interest. However, the *Adarand* Court's statements on *Korematsu* bring into strong question whether that reasoning could command a majority of the Court today.
- 20 Libby Huskey, Case Notes, *Constitutional Law—Affirmative Action in Higher Education—Strict in Theory, Intermediate in Fact? Grutter v. Bollinger*, 123 S. Ct. 2325 (2003), 4 WYO. L. REV. 439 (2004); *see also* *United States v. Paradise*, 480 U.S. 149, 165-66 (1987) (upholding race-conscious remedy designed to "remedy the present effect of past discrimination"); Robert J. Donahue, Note, *Racial Diversity as a Compelling Governmental Interest*, 30 IND. L. REV. 523, 540-41 (1997) (discussing cases upholding race conscious policies under the compelling interest of remedying the effects of past discrimination).
- 21 *Id.* at 311-13. The Supreme Court considered this case in conjunction with a parallel challenge to the university's race-conscious admissions program for its undergraduate schools in *Gratz v. Bollinger*, 539 U.S. 244 (2003).
- 22 *Grutter*, 539 U.S. at 325. Justice O'Connor observed that the lower courts have long been confused as to which *Bakke* opinion controls. She avoided that issue by simply declaring that the holding of *Bakke* is that race and ethnicity can play some role in the admissions process. The split-decision debate in *Bakke* has revolved around how to apply the test articulated in *Marks v. United*

States. Cf. Damien M. Schiff, *When Marks Misses the Mark: A Proposed Filler for the "Logical Subset" Vacuum*, ENGAGE, Vol. 9, Issue 1, at 119-24 (Feb. 2008) (discussing application of the *Marks* test to *Rapanos v. United States*, a split decision concerning the scope of the Clean Water Act, 33 U.S.C. § 1251, *et seq.*).

23 *Grutter*, 539 U.S. at 327. Justice O'Connor's statement is remarkable given that many commentators believed that, after *Adarand Constructors, Inc. v. Peña*, 515 U.S. 200, 227 (1995) (in which the Court's majority opinion was authored by Justice O'Connor), all racial classifications—even so-called "benign" classifications—would be subject to the most exacting of judicial scrutiny. *See, e.g.*, Russell N. Watterson, Jr., Note, *Adarand Constructors v. Peña: Madisonian Theory as a Justification for Lesser Constitutional Scrutiny of Federal Race-Conscious Legislation*, 1996 BYU L. REV. 301, 301 n.3 (1996) (observing that *Adarand* requires strict scrutiny even for benign racial classifications); Stephen C. Minnich, Comment, 46 CASE W. RES. 279, 286 (1995) (same); L. Darnell Weeden, *Yo, Hopwood, Saying No to Race-Based Affirmative Action Is the Right Thing to Do from an Afrocentric Perspective*, 27 CUMB. L. REV. 533, 553 (1996/1997) (same); cf. *Adarand*, 515 U.S. at 227 ("We hold today that all racial classifications, imposed by whatever federal, state, or local governmental actor, must be analyzed by a reviewing court under strict scrutiny."). Evidently, with *Grutter* the Court rejected that absolutist position for one in which the "strictness" of the scrutiny is based on contextual factors. *See* Paul Brest, *Some Comments on Grutter v. Bollinger*, 51 DRAKE L. REV. 683, 690-91 (2003).

24 *Grutter*, 539 U.S. at 328. Justice Thomas in dissent observed that the real compelling interest was not those educational benefits attributable to having a diverse student body but rather obtaining those benefits in an *elite* institution, or simply achieving a diverse student body—an "aesthetic"—regardless of its benefits. *See id.* at 354-56 (Thomas, J., concurring in part and dissenting in part).

25 *Grutter*, 539 U.S. at 329-30. It is unclear how the use of race to achieve "critical mass" is different from the use of race in quota systems, at least in those quota systems where slots are provisionally set aside for minorities. *See* Brian N. Lizotte, Note, *The Diversity Rationale: Unprovable, Uncompelling*, 11 MICH. J. RACE & L. 625, 650 (2006) ("Unfortunately, 'critical mass' seems impossible to define concretely without resort to any poisonous 'quota.'").

26 *Grutter*, 539 U.S. at 330-33.

27 *Id.* at 334.

28 *Id.*

29 *Id.* at 335.

30 *Id.* at 336.

31 *Id.* at 337.

32 *Id.* at 338.

33 *Id.* at 342.

34 *Id.* at 343.

35 *Fisher*, 631 F.3d at 222.

36 *Hopwood v. Texas*, 78 F.3d 932, 934-35 (5th Cir. 1996).

37 *Fisher*, 631 F.3d at 223.

38 *See* TEX. EDUC. CODE § 51.803 (1997).

39 *See id.* § 51.803(a-1) (2010).

40 *Fisher*, 631 F.3d at 224.

41 *See id.* at 224-25.

42 *Id.* at 226.

43 *Id.*

44 *Id.* at 217.

45 *Id.*

46 *See id.* at 247 (King, J., concurring specially).

47 *See id.* at 247-66 (Garza, J., concurring specially).

48 *Id.* at 231 (lead opinion).

49 *Id.*

- 50 *Id.* at 232.
- 51 *Id.* at 234-35.
- 52 *Id.* at 235.
- 53 *Id.* at 235-36.
- 54 *Id.* at 239.
- 55 *Id.*
- 56 *Id.* at 240.
- 57 *Id.* at 241.
- 58 *Id.*
- 59 *Id.* at 242.
- 60 *Id.* at 243.
- 61 *See id.* at 243.
- 62 *See id.* at 244.
- 63 *Id.* at 244. He also observed that the university enrolled fewer minorities in 2004 than in pre-*Hopwood* 1989. *See id.* at 244.
- 64 *Id.* at 244-45.
- 65 *See id.* at 247-48 (Garza, J., concurring specially).
- 66 *Id.* at 249.
- 67 *Id.* at 240-51.
- 68 *Id.* at 252.
- 69 *Id.*
- 70 *Id.* at 252-53.
- 71 *Id.* at 253.
- 72 *Id.* at 254.
- 73 *Id.* at 255-56.
- 74 *See id.* at 256.
- 75 *Id.* at 256.
- 76 *See id.* at 258.
- 77 *Id.* at 260.
- 78 *Id.* at 263.
- 79 *Id.*
- 80 *Id.* at 266.
- 81 *Fisher v. Univ. of Texas at Austin*, 644 F.3d 301 (5th Cir. 2011).
- 82 *Id.* (Jones, C.J., dissenting).
- 83 *Id.*, slip op. at *6.
- 84 *Id.* at *13.
- 85 *Id.* at *14.
- 86 *Id.* at *14-15.
- 87 *Id.* at *15 (citing *Parents Involved*, 551 U.S. at 734-35).
- 88 *Fisher*, 644 F.3d 301, slip op. at *15-16 (Jones, C.J., dissenting).
- 89 *Id.* at *18.
- 90 *Id.*

